EOSDIS Core System Project

Release B Hazard Analyses for the ECS Project

October 1995

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SUBMITTED BY

Craig Schillhahn /s/ 10/10/95
Craig Schillhahn, Release B CCB Chairman Date
EOSDIS Core System Project

Hughes Information Technology Corporation

Upper Marlboro, Maryland

Preface

This document is a contract deliverable with an approval code 2. As such, it does not require formal Government approval, however, the Government reserves the right to request changes within 45 days of the initial submittal. This document is under ECS configuration board control. Once approved, contractor changes to this document are handled in accordance with Class I and Class II change control requirements described in the EOS Configuration Management Plan, and changes to this document shall be made by document change notice (DCN) or by complete revision.

Any questions should be addressed to:

Data Management Office The ECS Project Office Hughes Information Technology Corporation 1616 McCormick Drive Upper Marlboro, MD 20774

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Abbreviations and Acronyms

1. Introduction

1.1 Identification

This document is submitted as required by CDRL Item 086, DID 513/PA2, whose requirements are specified in this document as a deliverable under the Earth Observing System Data and Information System (EOSDIS) Core System (ECS) Contract (NAS5-60000).

1.2 Scope

This document is revised from the previous Preliminary Design Review (PDR) final submittal which was approved by the Government with comments on September 1, 1995. This submittal incorporates the responses from the Government's comments of the previous submittal and addresses the required aspects of hazard analysis in accordance with DID 513/PA2 for the ECS Release B configuration at the Incremental Design Review (IDR) time frame.

There are three aspects of hazard analysis considered in this document: ground system hazard analysis, spacecraft hazard analysis, and the loss of mission essential science data.

The ground system hazard analysis identifies both hardware and software caused hazards for each Element and Segment of the ECS. Hazards to ECS personnel and equipment as well as potential hazards external to ECS are also considered.

The spacecraft hazard analysis is limited to the Flight Operations Segment (FOS). The analysis focuses on critical items within the FOS Critical Real-Time Command and Control functions and examines potential malfunctions that can result in damage to, or loss of, flight hardware or the mission.

Loss of mission essential science data consists of hazards that may cause the loss of Level 0 science data from TRMM and AM-1 satellites via the GSFC Sensor Data Processing Facility (GSFC SDPF) and EOS Data and Operations System (EDOS), respectively, to the Ingest Subsystem of the ECS Science Data Processing Segment (SDPS). For Release B, additional analysis focuses on the Landsat-7 science data transferred from the Earth Resources Observation System (EROS) Data Center (EDC) Landsat Processing System (LPS) to the ECS Ingest Subsystem.

This document reflects the August 23, 1995 Technical Baseline maintained by the contractor configuration control board in accordance with the ECS Technical Direction No.11, dated December 6, 1994.

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1.3 Purpose and Objectives

The purpose of this document is to provide high level hazard analysis results of the ECS at the Release B IDR time frame as described in the Data Item Description of DID 513/PA2 and to provide pointers to existing ECS hazard analysis related documents and plans that have documented current and future hazard protection and mitigation activities.

1.4 Document Status and Schedule

This submittal of DID 513/PA2 meets the milestone specified in the Contract Data Requirements List (CDRL) of NASA Contract NAS5-60000. It is anticipated that this submittal will be reviewed during the Release B IDR.

The next updated version of the Hazard Analyses will be submitted two weeks prior to the Release C Incremental Design Review (IDR).

1.5 Document Organization

The document is organized into six (6) sections and one Appendix:

Section 1	Introduction, contains the identification, scope, purpose and objectives, status and schedule, and document organization.
Section 2	Related Documentation, provides a bibliography of parent, applicable and information documents for the Hazard Analysis.
Section 3	Ground System Hazard Analysis, provides a brief description of existing hazard mitigation related documents for Release B ground COTS hardware.
Section 4	Spacecraft Hazard Analysis, provides a summary of existing spacecraft hazard related analysis documents.
Section 5	Loss Of Mission Essential Data Analysis, provides a high level data capture and data ingest analysis from EDOS, GSFC SDPF and EDC LPS to ECS.
Appendix A	Abbreviations and Acronyms.

2. Related Documentation

2.1 Parent Documents

The parent document is the document from which this Hazard Analyses document scope and content are derived.

194-207-SE1-001	Systems Design Specification for the ECS Project
420-05-03	Goddard Space Flight Center, Earth Observing System (EOS) Performance Assurance Requirements for the EOSDIS Core System (ECS)
423-41-01	Goddard Space Flight Center, EOSDIS Core System (ECS) Statement of Work
423-41-02	Goddard Space Flight Center, Functional and Performance Requirements Specification for the Earth Observing System Data and Information System (EOSDIS) Core System
423-41-03	Goddard Space Flight Center, EOSDIS Core System (ECS) Contract Data Requirements Document

2.2 Applicable Documents

The following documents are referenced within this Hazard Analyses document, or are directly applicable, or contain policies or other directive matters that are binding upon the content of this document.

194-501-PA1-001 Performance Assurance Implementation Plan for the ECS Project

2.3 Information Documents

The following documents, although not referenced herein and/or not directly applicable, do amplify or clarify the information presented in this document. These documents are not binding on the content of the Hazard Analyses document.

194-219-SE1-001	Interface Requirements Document Between EOSDIS Core System (ECS) and the NASA Science Internet (NSI)
302-CD-002-001	SDPS/CSMS Release A and FOS Release A and B Facilities Plan for the ECS Project

305-CD-004-001	Overview of Release A SDPS and CSMS System Design Specification for the ECS Project
305-CD-020-001	Overview of Release B SDPS and CSMS System Design Specification for the ECS Project
214-CD-001-002	Security Plan for the ECS Project
517-CD-001-003	Failure Modes and Effects Analyses (FMEA) and Critical Items List (CIL)
520-CD-001-002	Software Critical Items List for the ECS Project
532-CD-001-001	Environmental Control Plan for the ECS Project
613-CD-002-001	Release A COTS Maintenance Plan for the ECS Project
622-CD-001-002	Training Plan for the ECS Project
812-RD-001-001	Emergency Preparedness Plan for the ECS Project

3. Ground System Hazard Analysis

The ground system hazard analysis considered both hardware and software caused hazards for each Element and Segment of ECS. The analysis considered hazards to ECS personnel and to the ECS equipment, and potential hazards external to ECS.

This analysis concluded that the effect of ongoing and future planning and implementation processes to purchase, verify, integrate and test, install, operate and maintain COTS hardware minimizes the potential for a ground system hazardous condition to personnel or equipment. These various processes and the documents that describe them are:

- Procurement of COTS hardware to commercial practice UL performance and safety standards. Other commercial standards such as ANSI, BICSI, CCITT, EIA, IEEE, ISO, and NEC may also be applicable. The COTS hardware installed in the user environment has been engineered for the user desktop operating environment with enclosed components and no exposure to moving parts or electrical discharge. The COTS hardware installed in the data center environment will be accessible only to authorized, trained and certified operators and maintainers.
- Installation and Facility Planning to provide the DAACs with site specific Installation Plans and the ECS Facilities Plan (DID 302) to provide the planning necessary to assure that each ECS component will meet all requirements for interfacing with the facilities in which they are located. The Facilities Plan will contain physical layout, electrical power requirements, air conditioning requirements, antenna foundation, final equipment layout, mechanical/electrical loads, and functional arrangements.
- Environmental Control Planning to identify, in the Environmental Control Plan (DID 532), suitable environmental and cleanliness controls for all areas used for the operation, storage, maintenance, repair, inspection, or test of system equipment.
- Maintenance Planning, in the COTS Maintenance Plan (DID 613), to describe policies and procedures to be applied to maintenance of all hardware and software under M&O responsibility.
- M&O Procedures and the Operational Readiness Plan (DID 603) to describe the processes to assure all elements are in a state of operational readiness at all times.
- M&O Personnel Certification and Training to define the certification and COTS training required to prepare personnel to operate, maintain, and use the ECS. The COTS Training Plan (DID 622) and the M&O Certification Plan (DID 626) detail the approach and procedures required.
- Security Planning documents the approach to physical, informational and personnel security in the ECS Security Plan (DID 214).

• Disaster Recovery and Emergency Preparedness Planning is contained in the EDF Disaster Recovery Plan which provides for the safety and the protection of HAIS and the safeguarding of NASA computer resources and data assets. The Emergency Preparedness Plan focuses on personnel, visitors, and non-data assets.

4. Spacecraft Hazard Analysis

The Spacecraft Hazard Analysis is limited to the Flight Operations Segment (FOS). In the software area the analysis focused on the mission critical items, as well as the spacecraft hazardous command loads. It examined the potential malfunctions/hazards that can result in damage to or loss of the flight hardware or the mission, including the loss of critical science data. In the hardware area the analysis focused on the FOS Critical Real-Time functions probable failure modes and their potential effects to the overall mission success.

The software analysis and identified critical items are contained in DID 520/PA2, the Software Critical Items List. This document provides analyses of potential hazards that may be caused by the identified software critical items as well as a hazard mitigation plan for each identified item. The plan was submitted and approved by the Government during the Preliminary Design Review (PDR) time frame.

The hardware analysis has been conducted for the FOS Critical Real-Time Command and Control functions and has been documented in detail in DID 517/PA2, Failure Modes and Effects Analysis (FMEA). The FMEA results revealed that there are no critical hardware items identified for the above function due to the FOS robust design with end-to-end redundant architecture. The document was submitted and approved by the Government during the FOS PDR time frame and was updated for the FOS Critical Design Review (CDR) to reflect latest design configuration.

5. Loss Of Mission Essential Data Analysis

Mission essential data from AM-1 satellite will be first captured by the EDOS Data Ingest Facility (DIF) and then transferred to EDOS Data Production Facility (DPF) for long term archiving. The media library at the DIF stores the physical media necessary for controlling all operations and the short-term raw data recordings of the return link mission data recorded by the data capture function. The short-term raw data recordings of return-link mission data will be archived for at least 30 days. All raw data recordings will be logged in, stored sequentially on storage shelves, removed for recycling, and logged out when recycled. The logs will be retained indefinitely.

The data from the DPF will then be transferred via EBNet to the Ingest Subsystem of the ECS Science Data Processing Segment (SDPS) within 24 hours or less. Typically, data transfer must be completed within several hours to free up resources at the Level 0 processing site or DPF, as new data sets are being received on a nearly continuous basis. The EDOS Level 0 processing site provides long term archive of mission essential data in conjunction with the Ingest Subsystem. In the event that data is corrupted or lost in the transfer to ECS or within ECS itself, EDOS archive will provide back up to the ECS Ingest Subsystem and vice versa.

TRMM raw data will be first captured at the GSFC Sensor Data Processing Facility (SDPF). The raw data will than be archived for a two (2) year period and processed to Level 0 science and housekeeping data. Level 0 science and housekeeping data is in turn transferred directly to the ECS Ingest Subsystem (for LIS and CERES instruments) and to the GSFC TRMM Science Data and Information System (TSDIS) (for VIRS, PR and TMI instruments). At the GSFC TSDIS, Level 0 TRMM science data for VIRS, PR and TMI instruments will then be processed to Level 1-3 and transferred to the ECS Ingest Subsystem at the DAAC sites. In the event that data is corrupted or lost in the transfer to ECS or within ECS itself, data can always be reprocessed and retransferred from the GSFC SDPF.

Landsat-7 data will be down linked directly to the Landsat-7 Ground Station (LGS) which in turn is forwarded directly to the Landsat-7 Processing System (LPS) at the Earth Resources Observing System (EROS) Data Center (EDC). At the EDC LPS, Landsat-7 raw data will be archived for 30 days in staging disks and processed to Level 0-R. Level 0-R data will then be transferred to the ECS Ingest subsystem at EDC. In the case where processing data from the Ingest subsystem is found to be corrupted, the LPS can always reprocess Landsat-7 raw data from the archive storage disks

The Ingest Subsystem of the ECS SDPS is designed to meet stringent Operational Availability (A_0) and Mean Down Time (MDT) requirements of 0.999 and 2 hours respectively. This will be achieved with a standby redundant Ingest client host, redundant archive storage and reliable archive component architecture. Hot and warm spare backups will be provided to the working storage and Level 0 data server media drive and robotics devices. For more details of the Ingest Subsystem design refer to the SDPS Segment/Element Design Specifications, document number 305-CD-001-003.

The Ingest availability modeling was conducted and documented in the ECS Availability Models/Predictions, document 515-CD-001-003. The analysis results revealed that the Ingest $A_{\rm O}$ and MDT met all ECS Level 3 requirements with considerable margin.

This high level analysis concluded that there is sufficient redundancy in the capture, handling, and processing of science data to mitigate the risk of loss of this data.

Abbreviations and Acronyms

ANSI American National Standards Institute

A_O Operational Availability

BICSI Building Industry Consulting Service International

CCITT International Telegraph & Telephone Consultative Committee

CIL Critical Items List

CCR Configuration Change Request

CDR Critical Design Review

CDRL Contract Data Requirements List

COTS Commercial Off The Shelf

DAAC Distributed Active Archive Center

DCN Document Change Notice

DID Data Item Description

DIF Data Ingest Facility

DPF Data Production Facility

ECS EOSDIS Core System

EDC EROS Data Center

EDF ECS Development Facility

EDOS EOS Data and Operations System

EIA Electronics Industry Association

EOS Earth Observing System

EOSDIS Earth Observing System Data and Information System

EROS Earth Resources Observation System

FMEA Failure Modes & Effects Analysis

FOS Flight Operations Segment (ECS)

HAIS Hughes Applied Information Systems, Inc.

IDR Incremental Design Review

IEEE Institute of Electrical and Electronics Engineers, Inc.

ISO International Organization for Standardization

LGS Landsat Ground Station

LPS Landsat Processing System

M&O Maintenance and Operations

MDT Mean Down Time

NASA National Aeronautics and Space Administration

NEC National Electric Code

NSI NASA Science Internet

PDR Preliminary Design Review

SDPS Science Data Processing Segment (ECS)

UL Underwriters Laboratory